

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Sheet 1 of 3

Application Number	
Filing Date	
First Named Inventor	Kenneth Rose
Art Unit	
Examiner Name	
Attorney Docket Number	ROS5313.01A2

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 5,886,736	03-23-1999	Chen	
		US- 5,973,739	10-26-1999	Nilsson	
		US- 6,128,041	10-03-2000	Han et al.	
		US- 5,408,270	04-18-1995	Lim	
		US- 3,568,063	03-02-1971	Brown	
		US- 4,144,543	03-13-1979	Koga	
		US- 5,349,383	09-20-1994	Parke et al.	
		US- 5,418,517	05-23-1995	Ghanbari	
		US- 5,455,629	10-03-1995	Sun et al.	
		US- 5,461,423	10-24-1995	Tsukagoshi	
		US- 5,495,291	02-27-1996	Adams	
		US- 5,508,745	04-16-1996	Jo	
		US- 5,515,377	05-07-1996	Horne et al.	
		US- 5,517,595	05-14-1996	Kleijn	
		US- 5,537,440	07-16-1996	Eyuboglu et al.	
		US- 5,500,677	03-19-1996	Fert	
		US- 5,568,199	10-22-1996	Kajimoto et al.	
		US- 5,600,737	02-04-1997	Murakami et al.	
		US- 5,621,760	04-15-1997	Gotoh et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		EP 0 634 871 A2	01-18-1995	AT&T		
		EP 0 771 119 A2	05-02-1997	Kabushiki		
		EP 0 644 695 A2	03-22-1995	AT&T		

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Kenneth Rose
Art Unit	
Examiner Name	
Attorney Docket Number	ROS5313.01A2

Sheet	2	of	3
-------	---	----	---

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

INFORMATION DISCLOSURE CITATION*(Use several sheets if necessary)*

Docket Number (Optional)

ROSS5313.01A2

Application Number

Applicant(s)

Kenneth Rose

Filing Date

Group Art Unit

***EXAMINER
INITIAL****OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

Nakamura et al., "Scalable Coding Schemes based on DCT and MC Prediction", Proceedings of the International Conference on Image Processing (ICIP), IEEE, Vol. 2, October 23, 1995, pp. 575-578

Puri et al., "Spatial Domain Resolution Scalable Video Coding", Proceedings of SPIE Visual Communications and Image Processing, Vol. 2094, October 1993, pp. 718-729

International Telecommunication Union, Study Group 16 - Contribution COM-999, "Draft Text of Recommendation H.263 Version 2 ("H.263+") for Decision", 01-27-1998, Introduction pp. 1-3, Cover Page, Forward, Contents pp. 99-iv, Summary pp. v, Draft Recommendation pp. 1-149

International Telecommunication Union, Study Group 16 - Contribution _____, Draft for Annexes U, V, and W to Recommendation H.263", 11-2000, Cover Page, Annex U pp. 2-24, Annex V pp. 25-31, Annex W pp. 32-45

International Telecommunication Union, Study Group 16 - Contribution _____, "Annex X to ITU-T Recommendation H.263 - Video coding for low bit rate communication, 12-21-2001, pp. 1-15

EXAMINER**DATE CONSIDERED**

***EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.